

3 Initial contribution (Required)

You may contribute to one or any combination of the College-Advantage Investment Options available. The minimum initial contribution is \$15 per Option selected unless you are selecting a Fifth Third 529 Certificate of Deposit—CDs **require a \$500 minimum contribution**. If you wish to sign up for more than four Investment Options, you can complete and return an *Investment Option Addition Form* once the account has been established.

Please refer to the list of Investment Options at right and their corresponding 3- to 5-character codes to complete this section.

Investment Option selection

Investment Option code	Initial contribution (minimum \$15 per Option, \$500 for a CD)
<input type="text"/>	\$ <input type="text"/> , <input type="text"/> . <input type="text"/>
<input type="text"/>	\$ <input type="text"/> , <input type="text"/> . <input type="text"/>
<input type="text"/>	\$ <input type="text"/> , <input type="text"/> . <input type="text"/>
<input type="text"/>	\$ <input type="text"/> , <input type="text"/> . <input type="text"/>
TOTAL	\$ <input type="text"/> , <input type="text"/> . <input type="text"/>

Please indicate how you will make your initial contribution:

- Check enclosed.** I have enclosed a check for my initial contribution. (Payable to the **Ohio Tuition Trust Authority**.)
- One-time debit.** I am providing banking information below for an immediate debit from my bank account for my initial contribution.
- Check here if you are funding this account with proceeds from the sale of assets held in an UGMA/UTMA custodial account.

Bank information (Required for one-time debit)

I would like the contributions to come from my (if no option is selected, we will default to checking account):

- Checking account (Routing number: See example below.)
- Savings account (Routing number: Call your bank to obtain.)

Routing number (first set of 9 numbers)

Account number (second set of numbers)

JOHN DOE		1 1 0 1
1124 Maple St. Tampa, FL 33602		Date _____
Pay to the order of _____	\$ <input type="text"/>	Dollars
YourBank YourBank of Tampa Tampa, FL		
For _____		
<input type="text"/>	<input type="text"/>	1 1 0 1

Routing Number

Account Number

CollegeAdvantage Investment Options	Code
Age-Based Options	
Vanguard Conservative Age-Based Option	VCAB
Vanguard Moderate Age-Based Option	VMAB
Vanguard Aggressive Age-Based Option	VAAB
Putnam Conservative Age-Based Portfolio	CNA
Putnam Moderate Age-Based Portfolio	MDA
Putnam Aggressive Age-Based Portfolio	AGA
Capital Preservation Options	
Fifth Third 529 Savings Account	FTSAV
Fifth Third 529 Certificate of Deposit	CD ____
	(plus number of months)
CD terms are available between 3 months to 144 months (12 years). If you are selecting a CD as one of your Investment Options, please enter the letters CD followed by the desired term of the CD (number of months). For example: the code for a 5-year CD (60 months) is CD060, a 10-year CD (120 months) is CD120. CDs require a \$500 minimum investment.	
Vanguard Prime Money Market Option	VPMM
Putnam Stable Value Option	STV
Fixed-Income Options (Bonds)	
Vanguard Inflation-Protected Bond Option	VIPB
Vanguard Income Portfolio	VINC
Putnam Income Option (Investment Grade Bond)	ING
Vanguard Total Bond Market Index Option	VTBM
Putnam High Yield Trust Option (High Yield Bond)	HIY
Balanced Options (Blend of Stocks and Bonds)	
Vanguard Conservative Growth Index Portfolio	VCGP
Vanguard Moderate Growth Index Portfolio	VMGP
Vanguard Balanced Index Option	VBIO
Putnam Balanced Portfolio	BAL
Vanguard Growth Index Portfolio	VGIP
Putnam Growth Portfolio	GRW
Equity Options (Stocks)	
Vanguard Aggressive Growth Index Portfolio	VAGP
Putnam Aggressive Growth Portfolio	EQT
Vanguard 500 Index Option	V500
Putnam Equity Income Option (U.S. Equity Income)	EQI
Putnam Voyager Option (U.S. Large Cap Equity Growth)	LCG
Putnam New Value Option (U.S. All Cap Equity Value)	ACV
Putnam New Opportunities Option (U.S. All Cap Equity Growth)	ACG
Vanguard Extended Market Index Option	VEMI
Putnam Capital Opportunities Option (U.S. Small Cap Equity Blend)	SCB
Vanguard Developed Markets International Stock Index Option	VDIM
Putnam International Equity Option (International Large Cap Equity Blend)	ILC

There are no residency requirements for the Vanguard or Fifth Third Investment Options; either the Account Owner or the Beneficiary must be a resident of the state of Ohio to select Putnam Investment Options using this application.





4 Subsequent contributions

Once your account is established, you can choose to contribute to your account in a variety of ways:

- **Electronic fund transfers (EFT).** Sign up below for automatic deposit transfers from your bank account (checking or savings) to your CollegeAdvantage account.
- **Payroll deduction.** Contact your employer for more information.
- **Contribution slips.** Contribution slips will be mailed to you in your CollegeAdvantage Welcome Kit. A contribution slip must accompany any check sent to the Ohio Tuition Trust Authority on the behalf of your Beneficiary, such as gifts from relatives or third-party checks from credit card reward programs, as we must have investment instructions in order to allocate the contribution to the correct Investment Option.
- **Online.** Do a one-time debit from your bank account (checking or savings) to your CollegeAdvantage account at any time at www.collegeadvantage.com.

Recurring electronic funds transfer (EFT) (Optional)

You can establish regular automatic EFT contributions to your CollegeAdvantage account from your bank account. Your EFT will be activated once your account is established. Please note, recurring EFT contributions are not available for Fifth Third 529 Certificates of Deposit.

You can choose up to two transfers per month from your bank account on any day from the 1st through the 28th. If no Option is selected, we will default to one monthly transfer on the 15th.

Minimum EFT contribution: \$15 per Investment Option.

I would like my EFT to occur once per month on the day of the month.

I would like my EFT to occur twice per month on the day and the day of the month.

Investment Option selection

Investment Option code	1st Transfer (minimum \$15 per Option)
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
TOTAL	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>

Investment Option code	2nd Transfer (minimum \$15 per Option)
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
TOTAL	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>

Bank information

Check here if the recurring EFT banking information is the same as your initial one-time debit banking information in Section 3 and go to next section.

New bank information: I would like the contributions to come from my (if no Option is selected, we will default to checking account):

- Checking account (Routing number: See example at right.)
- Savings account (Routing number: Call your bank to obtain.)

Routing number (first set of 9 numbers)

Account number (second set of numbers)

JOHN DOE 1124 Maple St. Tampa, FL 33602	Date _____	1 1 0 1
Pay to the order of _____	\$ <input type="text"/>	
Dollars		
YourBank YourBank of Tampa Tampa, FL		
For _____		
: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> :	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	1 1 0 1

Routing Number

Account Number





5 Successor Owner information (Optional)

The Successor Owner will automatically become the owner upon the death, incompetence or permanent disability of the original Account Owner. The Successor Owner must be a different person than the Account Owner, and must be an adult, age 18 or older.

Successor Owner's first name

MI

Last name

Successor Owner's Social Security number

Check here if address is same as Account Owner and skip to next section.

Successor Owner's mailing address (including apartment or box number)

City

State

ZIP code

6 Rollover information (Optional)

If your account is being funded from another Qualified Tuition Program, a Coverdell Education Savings Account (formerly Education IRA) or qualified U.S. Savings Bond, please include check from other organization and attach documentation* showing the principal and earnings portions of the rollover contribution. If no documentation is attached, CollegeAdvantage must treat the entire amount of the rollover contribution as earnings. Please note, you must include check with this application to establish a CollegeAdvantage account. CollegeAdvantage will not solicit funds or documentation from the distributing entity.

This CollegeAdvantage account is being funded from a rollover contribution. See attached documentation and check.

Qualified Tuition Program

Coverdell Education Savings Account

U.S. Savings Bond

Dollar amount attributable to contributions

Dollar amount attributable to earnings

\$, .

\$, .

*Documentation requirements: **From another Qualified Tuition Program**—a statement issued by the distributing 529 program that shows the earnings portion of the distribution. **From a Coverdell Education Savings Account**—an account statement issued by the financial institution that acted as trustee or custodian of the education savings account that shows basis and earnings in the account. **From the redemption of qualified U.S Savings Bonds** [under Section 135 (c)(2)(C) of the Internal Revenue Code]—an account statement or Form 1099-INT issued by the financial institution that redeemed the bonds showing interest from the redemption of the bonds.

7 Annual household income (Optional)

We collect this information to better serve your needs and help us determine the demographic profile of our customers. All information will be kept strictly confidential.

Under \$30,000

\$30,000-\$50,000

\$50,000-\$70,000

\$70,000-\$90,000

\$90,000 or more

Signature required ➔



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8 Account certification and authorization (Required)

We must have your signature to process your Application and to certify your taxpayer identification number, which is located in Section 1.

I certify that the information contained herein is true and correct. I certify that I, the Successor Owner and my Beneficiary are U.S. Citizens or resident aliens. I certify that the taxpayer identification numbers in Sections 1, 2 and 5 are correct (or a number has been applied for and will be provided upon receipt). The Ohio Tuition Trust Authority (Tuition Trust) is authorized to recognize only my signature below for the withdrawal of funds or transactions of any other business regarding this account until written notice to the contrary is received by the Tuition Trust.

If participating in electronic funds transfers (EFT), my signature below authorizes the CollegeAdvantage Program Administrators to initiate the debit entries to my bank account indicated above, and the bank indicated above to debit the same account. I authorize the Program Administrators to make a follow-up attempt in retrieving those monies which are denied due to insufficient funds. I agree to indemnify and hold harmless my bank, Putnam Investments, Vanguard, Fifth Third Bank and the Tuition Trust for any loss, liability or expense incurred from acting on these instructions. I also reserve the right to revoke this authorization by written notification to the Program Administrators, with reasonable time given to implement my request.

In the case of overpayment on this account, whether by error, mistake, inadvertence or otherwise, the amount of such overpayment shall be immediately paid to the Tuition Trust.

BY SIGNING BELOW, I AM AGREEING TO THE TERMS OF THE OFFERING STATEMENT AND PARTICIPATION AGREEMENT DATED SEPTEMBER 1, 2005 OR LATER, AND THE TERMS OF THIS APPLICATION. I UNDERSTAND THAT I SHOULD CONSULT A FINANCIAL OR LEGAL ADVISOR IF I HAVE ANY QUESTIONS ABOUT THE TERMS AND CONDITIONS OF THIS AGREEMENT. MY SIGNATURE BELOW INDICATES I HAVE READ THE OFFERING STATEMENT AND PARTICIPATION AGREEMENT DATED SEPTEMBER 1, 2005 OR LATER FOR THE DIRECT SOLD COLLEGEADVANTAGE 529 SAVINGS PLAN OFFERED EXCLUSIVELY THROUGH THE OHIO TUITION TRUST AUTHORITY, AND AGREE TO THE TERMS THEREIN AND HEREIN. THIS APPLICATION TOGETHER WITH THE PARTICIPATION AGREEMENT INCORPORATED HEREIN, CONSTITUTES MY CONTRACT WITH THE OHIO TUITION TRUST AUTHORITY WITH RESPECT TO AMOUNTS INVESTED PURSUANT TO THIS APPLICATION.

In accordance with federal law, I understand the CollegeAdvantage Program Administrators are required to obtain my name, residential or business address, Social Security or Tax Identification number, driver's license or state-issued I. D. card, and date of birth in order to verify my identity and for tax reporting purposes. The information I provide may be shared with third parties for the purpose of verification subject to the terms of the CollegeAdvantage Administrators' privacy policies. The Tuition Trust is unable to accept this new account if any required information is not provided. If the Program Administrators are unable to verify the Account Owner's identity, this account will be closed and the assets in the account distributed at the then-current unit value.

I understand that under federal tax law any rollover of such distribution to another Qualified Tuition Program that will accept it must occur within 60 days. If I have any additional questions concerning the tax consequences of any such distribution, I will refer to the "Tax Information" section of the *Offering Statement and Participation Agreement* or consult my professional tax advisor.

Note: If you select any of the Fifth Third Bank Investment Options, then your signature below, together with this Application and the *Offering Statement and Participation Agreement*, which includes Fifth Third Bank's Rules, Regulations, Agreements and Disclosures, constitutes the Deposit Agreement between you and Fifth Third Bank.

Signature of Account Owner

Signature date (month, day, year)

The CollegeAdvantage Putnam and Vanguard Investment Options are not mutual funds; are not deposits or obligations of, or guaranteed or endorsed by, the state of Ohio, the Ohio Tuition Trust Authority or any financial institution; are not insured by the Federal Deposit Insurance Corporation (FDIC), the Federal Reserve Board, or any agency. The Putnam and Vanguard Investment Options involve risk, including the possible loss of principal. Account Owners participating in any of the Putnam and Vanguard Investment Options assume all investment risks, and the state of Ohio, the Ohio Tuition Trust Authority, Putnam and Vanguard assume no risk or liability for monies invested under these Options.

Program details are subject to change. If you do not submit your account application within six months of receipt of the *Offering Statement and Participation Agreement*, please call the Ohio Tuition Trust Authority to ensure you have the most current version of this important document before submitting application.

